



PATENT

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Alex Martinez

Applicant : Tetsuya Miyazaki  
Application No. : 10/726,330  
Filed : December 2, 2003  
Title : OPTICAL RECEIVER AND METHOD FOR CONTROLLING  
DISPERSION COMPENSATION  
Grp./Div. : N/A  
Examiner : N/A  
Docket No. : 51214/DBP/T360

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**37 CFR § 1.97(b)**

Commissioner for Patents  
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Alexandria, VA 22313-1450


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Pasadena, CA 91109-7068  
February 4, 2004

Commissioner:

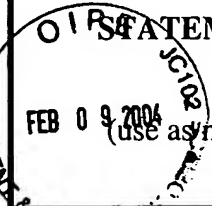
In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing the references that are known to applicant. Copies of each of the listed references are enclosed. This filing is timely because it is made during one of the periods described in 37 CFR § 1.97(b). It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By   
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626/795-9900

DBP/aam  
Enclosures: Form PTO/SB/08A/B, w/references

FORM PTO/SB/08A/B (10-01) Substitute for PTO-1449A/B	<b>Attorney Docket Number</b>	<b>51214/DBP/T360</b>
<b>INFORMATION DISCLOSURE</b>  <b>STATEMENT BY APPLICANT</b>   (use as many sheets as necessary)	Application Number	10/726,330
	Filing Date	December 2, 2003
	Applicant(s)	Tetsuya Miyazaki
	Group Art Unit	N/A
	Examiner Name	N/A

U.S. PATENT DOCUMENTS				
EXAMINER INITIALS	Cite No. <sup>1</sup>	<u>DOCUMENT NUMBER</u> Number - Kind Code <sup>2</sup> (If Known)	Publication Date MM-DD-YYYY	Name of Patentee

FOREIGN PATENT DOCUMENTS					
EXAMINER INITIALS	Cite No. <sup>1</sup>	<u>FOREIGN PATENT DOCUMENT</u> Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup> (✓)

OTHER DOCUMENTS		
EXAMINER INITIALS	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		YOKOYAMA, Y. et al., "Precise dispersion monitoring and dynamic compensation in 42.7-Gb/s long-haul transmission using an optical time domain level monitoring technique", Networking Research Laboratories, NEC Corporation, 2 pages, August 2003
		TAKUSHIMA, YUICHI, et al., "Experimental Demonstration of In-Service Dispersion Monitoring in 960-km WDM Transmission System Using Optical Frequency-Modulation Method", IEEE Photonics Technology Letters, Vol. 15, No. 6, June 2003, pp. 870-872

AAM PAS547198.1-\*02/4/04 2:03 PM

EXAMINER SIGNATURE	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English Language Translation is attached.	